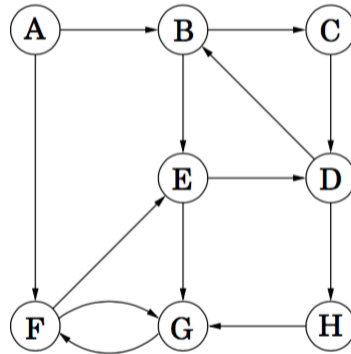


## 1 Graph Basics

In the first few parts, you will be answering questions on the following graph  $G$ .



- (a) What are the vertex and edge sets  $V$  and  $E$  for graph  $G$ ?
- (b) Which vertex has the highest in-degree? Which vertex has the lowest in-degree? Which vertices have the same in-degree and out-degree?
- (c) What are the paths from vertex  $B$  to  $F$ , assuming no vertex is visited twice? Which one is the shortest path?
- (d) Which of the following are cycles in  $G$ ?
  - i.  $(B, C), (C, D), (D, B)$
  - ii.  $(F, G), (G, F)$
  - iii.  $(A, B), (B, C), (C, D), (D, B)$
  - iv.  $(B, C), (C, D), (D, H), (H, G), (G, F), (F, E), (E, D), (D, B)$
- (e) Which of the following are walks in  $G$ ?
  - i.  $(E, G)$
  - ii.  $(E, G), (G, F)$
  - iii.  $(F, G), (G, F)$
  - iv.  $(A, B), (B, C), (C, D), (H, G)$
  - v.  $(E, G), (G, F), (F, G), (G, C)$
  - vi.  $(E, D), (D, B), (B, E), (E, D), (D, H), (H, G), (G, F)$

- (f) Which of the following are tours in  $G$ ?
- i.  $(E, G)$
  - ii.  $(E, G), (G, F)$
  - iii.  $(F, G), (G, F)$
  - iv.  $(E, D), (D, B), (B, E), (E, D), (D, H), (H, G), (G, F)$

**In the following three parts, let's consider a general undirected graph  $G$  with  $n$  vertices ( $n \geq 3$ ).**

- (g) True/False: If each vertex of  $G$  has degree at most 1, then  $G$  does not have a cycle.
- (h) True/False: If each vertex of  $G$  has degree at least 2, then  $G$  has a cycle.
- (i) True/False: If each vertex of  $G$  has degree at most 2, then  $G$  is not connected.

**Solution:**

- (a) A graph is specified as an ordered pair  $G = (V, E)$ , where  $V$  is the vertex set and  $E$  is the edge set.

$$V = \{A, B, C, D, E, F, G, H\},$$

$$E = \{(A, B), (A, F), (B, C), (B, E), (C, D), (D, B), (D, H), (E, D), (E, G), (F, E), (F, G), (G, F), (H, G)\}.$$

- (b)  $G$  has the highest in-degree (3).  $A$  has the lowest in-degree (0).

$\{B, C, D, E, F, H\}$  all have the same in-degree and out-degree.  $H$  and  $C$  has in-degree (out-degree) equal to 1 and the other four have in-degree (out-degree) equal to 2.

- (c) There are three paths:

$$(B, C), (C, D), (D, H), (H, G), (G, F)$$

$$(B, E), (E, D), (D, H), (H, G), (G, F)$$

$$(B, E), (E, G), (G, F)$$

The first two have length 5, while the last one has length 3, so the last one is the shortest path.

- (d) A cycle is a path that starts and ends at the same point. This means that (iii) is not a cycle, since it starts at  $A$  but ends at  $B$ . In addition, all the vertices  $\{v_1, \dots, v_n\}$  in the cycle  $(v_1, v_2), (v_2, v_3), \dots, (v_{n-1}, v_n)$  should be distinct, so (iv) is not a cycle. The correct answers are (i) and (ii).

- (e) A walk consists of any sequence of edges such that the endpoint of each edge is the same as the starting vertex of the next edge in the sequence. Example (iv) does not fit this definition—even though it uses only valid edges, the endpoint of the second to last edge in  $D$ , while the start point of the next edge is  $H$ . Example (v) also is not a walk, since it tries to walk from  $G$  to  $C$  as its last step, but there is no such edge. All the rest are walks.

- (f) A tour is simply a walk that has the same start and end vertex. Only (iii) satisfies this definition. Note in part (d), we already said that (iii) was a cycle—and indeed, all cycles are also tours.
- (g) True. In order for there to be a cycle in  $G$  starting and ending at some vertex  $v$ , we would need at least two edges incident to  $v$ : one to leave  $v$  at the start of the cycle, and one to return to  $v$  at the end. If every vertex has degree at most 1, no vertex has two or more edges incident on it, so no vertex is capable of acting as the start and end point of a cycle.
- (h) True. Consider starting a walk at some vertex  $v_0$ , and at each step, walking along a previously untraversed edge, stopping when we first visit some vertex  $w$  for the second time. If this process terminates, the part of our walk from the first time we visited  $w$  until the second time is a cycle. Thus, it remains only to argue this process always terminates.
- Each time we take a step from some vertex  $v$ , since we are not stopping, we must have visited that vertex exactly once and not yet left. It follows that we have used at most one edge incident with  $v$  (either we started at  $v$ , or we took an edge into  $v$ ). Since  $v$  has degree at least 2, there must be another edge leaving  $v$  for us to take.
- (i) False. For example, a 3-cycle (triangle) is connected and every vertex has degree 2.

## 2 Odd Degree Vertices

**Claim:** Let  $G = (V, E)$  be an undirected graph. The number of vertices of  $G$  that have odd degree is even.

Prove the claim above using:

- (i) Direct proof (e.g., counting the number of edges in  $G$ ). *Hint: in lecture, we proved that  $\sum_{v \in V} \deg v = 2|E|$ .*
- (ii) Induction on  $m = |E|$  (number of edges)
- (iii) Induction on  $n = |V|$  (number of vertices)
- (iv) Well-ordering principle (*Hint: Try rephrasing one of the induction proofs.*)

### Solution:

Let  $V_{\text{odd}}(G)$  denote the set of vertices in  $G$  that have odd degree. We prove that  $|V_{\text{odd}}(G)|$  is even.

- (i) Let  $d_v$  denote the degree of vertex  $v$  (so  $d_v = |N_v|$ , where  $N_v$  is the set of neighbors of  $v$ ). Observe that

$$\sum_{v \in V} d_v = 2m$$

because every edge is counted exactly twice when we sum the degrees of all the vertices. Now partition  $V$  into the odd degree vertices  $V_{\text{odd}}(G)$  and the even degree vertices  $V_{\text{odd}}(G)^c$ , so we can write

$$\sum_{v \in V_{\text{odd}}(G)} d_v = 2m - \sum_{v \notin V_{\text{odd}}(G)} d_v.$$

Both terms in the right-hand side above are even ( $2m$  is even, and each term  $d_v$  is even because we are summing over even degree vertices  $v \notin V_{\text{odd}}(G)$ ). So for the left-hand side  $\sum_{v \in V_{\text{odd}}(G)} d_v$  to be even, we must have an even number of terms, since each term in the summation is odd. Therefore, there must be an even number of odd-degree vertices, namely,  $|V_{\text{odd}}(G)|$  is even.

(ii) We use induction on  $m \geq 0$ .

*Base case  $m = 0$ :* If there are no edges in  $G$ , then all vertices have degree 0, so  $V_{\text{odd}}(G) = \emptyset$ .

*Inductive hypothesis:* Assume  $|V_{\text{odd}}(G)|$  is even for all graphs  $G$  with  $m$  edges.

*Inductive step:* Let  $G$  be a graph with  $m + 1$  edges. Remove an arbitrary edge  $\{u, v\}$  from  $G$ , so the resulting graph  $G'$  has  $m$  edges. By the inductive hypothesis, we know  $|V_{\text{odd}}(G')|$  is even. Now add the edge  $\{u, v\}$  to get back the original graph  $G$ . Note that  $u$  has one more edge in  $G$  than it does in  $G'$ , so  $u \in V_{\text{odd}}(G)$  if and only if  $u \notin V_{\text{odd}}(G')$ . Similarly,  $v \in V_{\text{odd}}(G)$  if and only if  $v \notin V_{\text{odd}}(G')$ . The degrees of all other vertices are unchanged in going from  $G'$  to  $G$ . Therefore,

$$V_{\text{odd}}(G) = \begin{cases} V_{\text{odd}}(G') \cup \{u, v\} & \text{if } u, v \notin V_{\text{odd}}(G') \\ V_{\text{odd}}(G') \setminus \{u, v\} & \text{if } u, v \in V_{\text{odd}}(G') \\ (V_{\text{odd}}(G') \setminus \{u\}) \cup \{v\} & \text{if } u \in V_{\text{odd}}(G'), v \notin V_{\text{odd}}(G') \\ (V_{\text{odd}}(G') \setminus \{v\}) \cup \{u\} & \text{if } u \notin V_{\text{odd}}(G'), v \in V_{\text{odd}}(G') \end{cases}$$

so we see that  $|V_{\text{odd}}(G)| - |V_{\text{odd}}(G')| \in \{-2, 0, 2\}$ . Since  $|V_{\text{odd}}(G')|$  is even, we conclude  $|V_{\text{odd}}(G)|$  is also even.

(iii) We use induction on  $n \geq 1$ .

*Base case  $n = 1$ :* If  $G$  only has 1 vertex, then that vertex has degree 0, so  $V_{\text{odd}}(G) = \emptyset$ .

*Inductive hypothesis:* Assume  $|V_{\text{odd}}(G)|$  is even for all graphs  $G$  with  $n$  vertices.

*Inductive step:* Let  $G$  be a graph with  $n + 1$  vertices. Remove a vertex  $v$  and all edges adjacent to it from  $G$ . The resulting graph  $G'$  has  $n$  vertices, so by the inductive hypothesis,  $|V_{\text{odd}}(G')|$  is even. Now add the vertex  $v$  and all edges adjacent to it to get back the original graph  $G$ . Let  $N_v \subseteq V$  denote the neighbors of  $v$  (i.e., all vertices adjacent to  $v$ ). Among the neighbors  $N_v$ , the vertices in the intersection  $A = N_v \cap V_{\text{odd}}(G')$  had odd degree in  $G'$ , so they now have even degree in  $G$ . On the other hand, the vertices in  $B = N_v \cap V_{\text{odd}}(G')^c$  had even degree in  $G'$ , and they now have odd degree in  $G$ . The vertex  $v$  itself has degree  $|N_v|$ , so  $v \in V_{\text{odd}}(G)$  if and only if  $|N_v|$  is odd. We now consider two cases:

(a) Suppose  $|N_v|$  is even, so  $v \notin V_{\text{odd}}(G)$ . Then

$$V_{\text{odd}}(G) = (V_{\text{odd}}(G') \setminus A) \cup B$$

so  $|V_{\text{odd}}(G)| = |V_{\text{odd}}(G')| - |A| + |B|$ . Note that  $A$  and  $B$  are disjoint and their union equals  $N_v$ , so  $|A| + |B| = |N_v|$ . Therefore, we can write  $|V_{\text{odd}}(G)|$  as

$$|V_{\text{odd}}(G)| = |V_{\text{odd}}(G')| + |N_v| - 2|A|$$

which is even, since  $|V_{\text{odd}}(G')|$  is even by the inductive hypothesis, and  $|N_v|$  is even by assumption.

(b) Suppose  $|N_v|$  is odd, so  $v \in V_{\text{odd}}(G)$ . Then

$$V_{\text{odd}}(G) = (V_{\text{odd}}(G') \setminus A) \cup B \cup \{v\}$$

so, again using the relation  $|A| + |B| = |N_v|$ , we can write

$$|V_{\text{odd}}(G)| = |V_{\text{odd}}(G')| - |A| + |B| + 1 = |V_{\text{odd}}(G')| + (|N_v| + 1) - 2|A|$$

which is even, since  $|V_{\text{odd}}(G')|$  is even by the inductive hypothesis, and  $|N_v|$  is odd by assumption.

This completes the inductive step and the proof.

*Note* how this proof is more complicated than the proof in part (ii), even though they are both using induction. This tells you that choosing the right variable to induct on can simplify the proof.

(iv) Here we give a well-ordering proof using the number of edges  $m$  as the notion of “size” of  $G$ , so this is equivalent to the proof in part (ii) using induction on  $m$ . (You can also try to give a well-ordering proof using  $n$  as the size of  $G$ .)

Suppose the contrary that the claim is false for some graphs. This means the set  $M$  is not empty, where  $M$  is the set of  $m \in \mathbb{N}$  for which there exists a graph  $G$  with  $m$  edges that is a counterexample to the claim. Thus, we have a nonempty subset  $M$  of  $\mathbb{N}$ , so by the well-ordering principle,  $M$  has a smallest element  $m'$ . Note that  $m' > 0$ , since the claim is true for all graphs with 0 edges.

Let  $G$  be a graph with  $m'$  edges for which the claim is false, i.e.,  $|V_{\text{odd}}(G)|$  is odd (here we know such a  $G$  must exist from the definition of  $m' \in M$ ). Remove one edge from  $G$  to obtain a smaller graph  $G'$  with  $m' - 1$  edges (here we need  $m' \geq 1$ , which we have seen above). By our choice of  $m'$  as the smallest element of  $M$ , we know that  $m' - 1 \notin M$ , so the claim holds for  $G'$ , namely,  $|V_{\text{odd}}(G')|$  is even. Now add the removed edge to get back  $G$ . By the same argument as in the inductive step in part (ii), this implies that  $|V_{\text{odd}}(G)|$  is also even, a contradiction.

### 3 Touring Hypercube

In the lecture, you have seen that if  $G$  is a hypercube of dimension  $n$ , then

- The vertices of  $G$  are the binary strings of length  $n$ .
- $u$  and  $v$  are connected by an edge if they differ in exactly one bit location.

A *Hamiltonian tour* of a graph is a sequence of vertices  $v_0, v_1, \dots, v_k$  such that:

- Each vertex appears exactly once in the sequence.

- Each pair of consecutive vertices is connected by an edge.
- $v_0$  and  $v_k$  are connected by an edge.

- (a) Show that a hypercube has an Eulerian tour if and only if  $n$  is even.
- (b) Show that every hypercube has a Hamiltonian tour.

**Solution:**

- (a) In the  $n$ -dimensional hypercube, every vertex has degree  $n$ . If  $n$  is odd, then by Euler's Theorem there can be no Eulerian tour. On the other hand, the hypercube is connected: we can get from any one bit-string  $x$  to any other  $y$  by flipping the bits they differ in one at a time. Therefore, when  $n$  is even, since every vertex has even degree and the graph is connected, there is an Eulerian tour.
- (b) By induction on  $n$ . When  $n = 1$ , there are two vertices connected by an edge; we can form a Hamiltonian tour by walking from one to the other and then back.

Let  $n \geq 1$  and suppose the  $n$ -dimensional hypercube has a Hamiltonian tour. Let  $H$  be the  $n + 1$ -dimensional hypercube, and let  $H_b$  be the  $n$ -dimensional subcube consisting of those strings with initial bit  $b$ .

By the inductive hypothesis, there is some Hamiltonian tour  $T$  on the  $n$ -dimensional hypercube. Now consider the following tour in  $H$ . Start at an arbitrary vertex  $x_0$  in  $H_0$ , and follow the tour  $T$  except for the very last step to vertex  $y_0$  (so that the next step would bring us back to  $x_0$ ). Next take the edge from  $y_0$  to  $y_1$  to enter cube  $H_1$ . Next, follow the tour  $T$  in  $H_1$  backwards from  $y_1$ , except the very last step, to arrive at  $x_1$ . Finally, take the step from  $x_1$  to  $x_0$  to complete the tour. By assumption, the tour  $T$  visits each vertex in each subcube exactly once, so our complete tour visits each vertex in the whole cube exactly once.

To build some intuition, here are the first few cases:

- $n = 1$ : 0, 1
- $n = 2$ : 00, 01, 11, 10 [Take the  $n = 1$  tour in the 0-subcube (vertices with a 0 in front), move to the 1-subcube (vertices with 1 in front), then take the tour backwards. We know 10 connects to 00 to complete the tour.]
- $n = 3$ : 000, 001, 011, 010, 110, 111, 101, 100 [Take the  $n = 2$  tour in the 0-subcube, move to the 1-subcube, then take the tour backwards. We know 100 connects to 000 to complete the tour.]

The sequence produced with this method is known as a Gray code.