

## Today.

Goodbye Modular Arithmetic!  
Countability and Uncountability.  
Computability.

## Next up: how big is infinity.

- ▶ Countable
- ▶ Countably infinite.
- ▶ Enumeration

## Summary: polynomials.

Set of  $d + 1$  points determines degree  $d$  polynomial.  
Encode secret using degree  $k - 1$  polynomial:  
Can share with  $n$  people. Any  $k$  can recover!  
Encode message using degree  $n - 1$  polynomial:  
 $n$  packets of information.  
Send  $n + k$  packets (point values).  
Can recover from  $k$  losses: Still have  $n$  points!  
Send  $n + 2k$  packets (point values).  
Can recover from  $k$  corruptions.  
Only one polynomial contains  $n + k$   
Efficiency.  
Magic!!!!  
Error Locator Polynomial.  
Relations: Linear code.  
Almost any coding matrix works.  
Vandermonde matrix (the one for Reed-Solomon).  
allows for efficiency.  
Other Algebraic-Geometric codes.

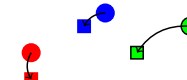
## How big are the reals or the integers?

Infinite!  
Is one bigger or smaller?

## Farewell (for now) to modular arithmetic...

Modular arithmetic modulo a prime.  
Add, subtract, commutative, associative, inverses!  
Allow for solving linear systems, discussing polynomials...  
Why not modular arithmetic all the time?  
 $4 > 3$ ? Yes!  
 $4 > 3 \pmod{7}$ ? Yes...maybe?  
 $-3 > 3 \pmod{7}$ ? Uh oh..  $-3 = 4 \pmod{7}$ .  
Another problem.  
4 is close to 3.  
But can you get closer? Sure. 3.5. Closer. Sure? 3.25, 3.1, 3.000001. ...  
For reals numbers we have the notion of limit, continuity, and derivative.....  
....and Calculus.  
For modular arithmetic...no Calculus. Sad face!

## Same size?



Same number?  
Make a function  $f : \text{Circles} \rightarrow \text{Squares}$ .  
 $f(\text{red circle}) = \text{red square}$   
 $f(\text{blue circle}) = \text{blue square}$   
 $f(\text{circle with black border}) = \text{square with black border}$   
One to one. Each circle mapped to different square.  
One to One: For all  $x, y \in D, x \neq y \implies f(x) \neq f(y)$ .  
Onto. Each square mapped to from some circle .  
Onto: For all  $s \in R, \exists c \in D, s = f(c)$ .  
**Isomorphism principle:** If there is  $f : D \rightarrow R$  that is one to one and onto, then,  $|D| = |R|$ .

## Isomorphism principle.

Given a function,  $f: D \rightarrow R$ .

### One to One:

For all  $\forall x, y \in D, x \neq y \implies f(x) \neq f(y)$ .

or

$\forall x, y \in D, f(x) = f(y) \implies x = y$ .

**Onto:** For all  $y \in R, \exists x \in D, y = f(x)$ .

$f(\cdot)$  is a **bijection** if it is one to one and onto.

### Isomorphism principle:

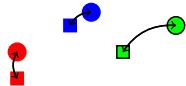
If there is a bijection  $f: D \rightarrow R$  then  $|D| = |R|$ .

## A bijection is a bijection.

Notice that there is a bijection between  $N$  and  $Z^+$  as well.

$f(n) = n + 1$ .  $0 \rightarrow 1, 1 \rightarrow 2, \dots$

Bijection from  $A$  to  $B \implies$  a bijection from  $B$  to  $A$ .



Inverse function!

Can prove equivalence either way.

Bijection to or from natural numbers implies countably infinite.

## Countable.

How to count?

0, 1, 2, 3, ...

The Counting numbers.

The natural numbers!  $N$

Definition:

$S$  is **countable**  $\equiv$  bijection between  $S$  and some subset of  $N$ .

If the subset of  $N$  is finite,  $S$  has finite **cardinality**.

If the subset of  $N$  is infinite,  $S$  is **countably infinite**.

## More large sets.

$E$  - Even natural numbers?

$f: N \rightarrow E$ .

$f(n) \rightarrow 2n$ .

Onto:  $\forall e \in E, f(e/2) = e$ .  $e/2$  is natural since  $e$  is even

One-to-one:  $\forall x, y \in N, x \neq y \implies 2x \neq 2y \equiv f(x) \neq f(y)$

Evens are countably infinite.

Evens are same size as all natural numbers.

## Where's 0?

Which is bigger?

The positive integers,  $Z^+$ , or the natural numbers,  $N$ .

Natural numbers. 0, 1, 2, 3, ...

Positive integers. 1, 2, 3, ...

Where's 0?

More natural numbers!

Consider  $f(z) = z - 1$ .

For any two  $z_1 \neq z_2 \implies z_1 - 1 \neq z_2 - 1 \implies f(z_1) \neq f(z_2)$ .

One to one!

For any natural number  $n$ , for  $z = n + 1$ ,  $f(z) = (n + 1) - 1 = n$ .  
Onto for  $N$

Bijection!  $\implies |Z^+| = |N|$ .

But.. but Where's zero? "Comes from 1."

## All integers?

What about Integers,  $Z$ ?

Define  $f: N \rightarrow Z$ .

$$f(n) = \begin{cases} n/2 & \text{if } n \text{ even} \\ -(n+1)/2 & \text{if } n \text{ odd.} \end{cases}$$

One-to-one: For  $x \neq y$

if  $x$  is even and  $y$  is odd,

then  $f(x)$  is nonnegative and  $f(y)$  is negative  $\implies f(x) \neq f(y)$

if  $x$  is even and  $y$  is even,

then  $x/2 \neq y/2 \implies f(x) \neq f(y)$

....

Onto: For any  $z \in Z$ ,

if  $z \geq 0$ ,  $f(2z) = z$  and  $2z \in N$ .

if  $z < 0$ ,  $f(2|z| - 1) = z$  and  $2|z| - 1 \in N$ .

Integers and naturals have same size!

## Listings..

$$f(n) = \begin{cases} n/2 & \text{if } n \text{ even} \\ -(n+1)/2 & \text{if } n \text{ odd.} \end{cases}$$

### Another View:

$n$	$f(n)$
0	0
1	-1
2	1
3	-2
4	2
...	...

Notice that: A listing "is" a bijection with a subset of natural numbers.  
Function  $\equiv$  "Position in list."  
If finite: bijection with  $\{0, \dots, |S| - 1\}$   
If infinite: bijection with  $N$ .

## Enumeration example.

All binary strings.  
 $B = \{0, 1\}^*$ .

$B = \{\phi, 0, 1, 00, 01, 10, 11, 000, 001, 010, 011, \dots\}$ .  
 $\phi$  is empty string.

For any string, it appears at some position in the list.  
If  $n$  bits, it will appear before position  $2^{n+1}$ .

Should be careful here.

$B = \{\phi; , 0, 00, 000, 0000, \dots\}$   
Never get to 1.

## Enumerability $\equiv$ countability.

Enumerating (listing) a set implies that it is countable.

"Output element of  $S$ ",  
"Output next element of  $S$ "

...

Any element  $x$  of  $S$  has *specific, finite* position in list.

$Z = \{0, 1, -1, 2, -2, \dots\}$

$Z = \{0, 1, 2, \dots\}$  and then  $\{-1, -2, \dots\}$

When do you get to  $-1$ ? at infinity?

Need to be careful.

61A — streams!

## More fractions?

Enumerate the rational numbers in order...

$0, \dots, 1/2, \dots$

Where is  $1/2$  in list?

After  $1/3$ , which is after  $1/4$ , which is after  $1/5$ ...

A thing about fractions:  
any two fractions has another fraction between it.

Can't even get to "next" fraction!

Can't list in "order".

## Countably infinite subsets.

Enumerating a set implies countable.

Corollary: Any subset  $T$  of a countable set  $S$  is countable.

Enumerate  $T$  as follows:

Get next element,  $x$ , of  $S$ ,  
output only if  $x \in T$ .

Implications:

$Z^+$  is countable.

It is infinite since the list goes on.

There is a bijection with the natural numbers.

So it is countably infinite.

All countably infinite sets have the same cardinality.

## Pairs of natural numbers.

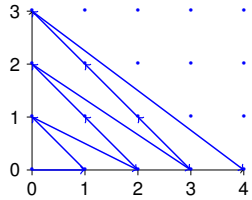
Consider pairs of natural numbers:  $N \times N$   
E.g.:  $(1, 2)$ ,  $(100, 30)$ , etc.

For finite sets  $S_1$  and  $S_2$ ,  
then  $S_1 \times S_2$   
has size  $|S_1| \times |S_2|$ .

So,  $N \times N$  is countably infinite squared ???

## Pairs of natural numbers.

Enumerate in list:  
(0,0), (1,0), (0,1), (2,0), (1,1), (0,2), .....



The pair  $(a,b)$ , is in first  $(a+b+1)(a+b)/2$  elements of list!  
(i.e., "triangle").

Countably infinite.

Same size as the natural numbers!!

## The reals.

Are the set of reals countable?

Lets consider the reals  $[0, 1]$ .

Each real has a decimal representation.

.500000000...  $(1/2)$

.785398162...  $\pi/4$

.367879441...  $1/e$

.632120558...  $1 - 1/e$

.345212312... Some real number

## Rationals?

Positive rational number.

Lowest terms:  $a/b$

$a, b \in \mathbb{N}$

with  $\gcd(a, b) = 1$ .

Infinite subset of  $\mathbb{N} \times \mathbb{N}$ .

Countably infinite!

All rational numbers?

Negative rationals are countable. (Same size as positive rationals.)

Put all rational numbers in a list.

First negative, then nonnegative ??? No!

Repeatedly and alternatively take one from each list.

Interleave Streams in 61A

The rationals are countably infinite.

## Diagonalization.

If countable, there a listing,  $L$  contains all reals. For example

0: .500000000...

1: .785398162...

2: .367879441...

3: .632120558...

4: .345212312...

⋮

Construct "diagonal" number: .77677...

Diagonal Number: Digit  $i$  is 7 if number  $i$ 's  $i$ th digit is not 7  
and 6 otherwise.

Diagonal number for a list differs from every number in list!

Diagonal number not in list.

Diagonal number is real.

Contradiction!

Set  $[0, 1]$  is not countable!!

## Real numbers..

Real numbers are same size as integers?

## All reals?

Set  $[0, 1]$  is not countable!!

What about all reals?

No.

Any subset of a countable set is countable.

If reals are countable then so is  $[0, 1]$ .

## Diagonalization.

1. Assume that a set  $S$  can be enumerated.
2. Consider an arbitrary list of all the elements of  $S$ .
3. Use the diagonal from the list to construct a new element  $t$ .
4. Show that  $t$  is different from all elements in the list  
 $\implies t$  is not in the list.
5. Show that  $t$  is in  $S$ .
6. Contradiction.

## The Continuum hypothesis.

There is no set with cardinality between the naturals and the reals.  
First of Hilbert's problems!

## Another diagonalization.

The set of all subsets of  $N$ .

Example subsets of  $N$ :  $\{0\}, \{0, \dots, 7\},$   
evens, odds, primes,

Assume is countable.

**There is a listing,  $L$ , that contains all subsets of  $N$ .**

Define a diagonal set,  $D$ :

If  $i$ th set in  $L$  does not contain  $i$ ,  $i \in D$ .  
otherwise  $i \notin D$ .

$D$  is different from  $i$ th set in  $L$  for every  $i$ .

$\implies D$  is not in the listing.

$D$  is a subset of  $N$ .

**$L$  does not contain all subsets of  $N$ .**

**Contradiction.**

**Theorem:** The set of all subsets of  $N$  is not countable.  
(The set of all subsets of  $S$ , is the **powerset** of  $N$ .)

## Cardinalities of uncountable sets?

Cardinality of  $[0, 1]$  smaller than all the reals?

$f: \mathbb{R}^+ \rightarrow [0, 1]$ .

$$f(x) = \begin{cases} x + \frac{1}{2} & 0 \leq x \leq 1/2 \\ \frac{1}{4x} & x > 1/2 \end{cases}$$

One to one.  $x \neq y$

If both in  $[0, 1/2]$ , a shift  $\implies f(x) \neq f(y)$ .

If neither in  $[0, 1/2]$  a division  $\implies f(x) \neq f(y)$ .

If one is in  $[0, 1/2]$  and one isn't, different ranges  $\implies f(x) \neq f(y)$ .

Bijection!

$[0, 1]$  is same cardinality as nonnegative reals!

## Diagonalize Natural Number.

Natural numbers have a listing,  $L$ .

Make a diagonal number,  $D$ :  
differ from  $i$ th element of  $L$  in  $i$ th digit.

Differs from all elements of listing.

$D$  is a natural number... **Not.**

Any natural number has a finite number of digits.

"Construction" requires an infinite number of digits.

## Generalized Continuum hypothesis.

There is no infinite set whose cardinality is between the cardinality of an infinite set and its power set.

The powerset of a set, the set of all subsets, is larger than the set.

## Resolution of hypothesis?

Gödel. 1940.  
Can't use math!  
If math doesn't contain a contradiction.

This statement is a lie.

Is the statement above true?

The barber shaves every person who does not shave themselves.

Who shaves the barber?

Self reference.

Can a program refer to a program?

Can a program refer to itself?

Uh oh....

## Next Topic: Undecidability.

► Undecidability.

## Barber paradox.

Barber announces:  
"The barber shaves every person who does not shave themselves."  
Who shaves the barber?  
Get around paradox?  
The barber lies.

## Russell's Paradox.

Naive Set Theory: Any definable collection is a set.

$$\exists y \forall x (x \in y \iff P(x)) \quad (1)$$

$y$  is the set of elements that satisfies the proposition  $P(x)$ .

$P(x) = x \notin x$ .

There exists a  $y$  that satisfies statement **??** for  $P(\cdot)$ .

Take  $x = y$ .

$$y \in y \iff y \notin y.$$

Oops!

What type of object is a set that contain sets?

Axioms changed.

## Changing Axioms?

Goedel:  
Any set of axioms is either  
inconsistent (can prove false statements) or  
incomplete (true statements cannot be proven.)

Concrete example:  
Continuum hypothesis: "no cardinality between reals and naturals."  
Continuum hypothesis not disprovable in ZFC  
(Goedel 1940.)

Continuum hypothesis not provable.  
(Cohen 1963: only Fields medal in logic)

BTW:  
Cantor ..bipolar disorder..  
Goedel ..starved himself out of fear of being poisoned..  
Russell .. was fine.....but for ...two schizophrenic children..  
Dangerous work?  
See Logicomix by Doxiadis, Papadimitriou (professor here),  
Papadatos, Di Donna.

## Is it actually useful?

Write me a program checker!  
Check that the compiler works!  
How about.. Check that the compiler terminates on a certain input.

$HALT(P, I)$   
 $P$  - program  
 $I$  - input.

Determines if  $P(I)$  ( $P$  run on  $I$ ) halts or loops forever.

Notice:  
Need a computer  
...with the notion of a stored program!!!!  
(not an adding machine! not a person and an adding machine.)

Program is a text string.  
Text string can be an input to a program.  
Program can be an input to a program.

## Implementing HALT.

$HALT(P, I)$   
 $P$  - program  
 $I$  - input.

Determines if  $P(I)$  ( $P$  run on  $I$ ) halts or loops forever.

Run  $P$  on  $I$  and check!

How long do you wait?

Something about infinity here, maybe?

## Halt does not exist.

$HALT(P, I)$   
 $P$  - program  
 $I$  - input.

Determines if  $P(I)$  ( $P$  run on  $I$ ) halts or loops forever.

**Theorem:** There is no program HALT.

**Proof:** Yes! No! Yes! No! No! Yes! No! Yes! .. □

What is he talking about?

- (A) He is confused.
- (B) Fermat's Theorem.
- (C) Diagonalization.
- (C).

## Halt and Turing.

**Proof:** Assume there is a program  $HALT(\cdot, \cdot)$ .

$Turing(P)$

1. If  $HALT(P, P) = \text{"halts"}$ , then go into an infinite loop.
2. Otherwise, halt immediately.

Assumption: there is a program HALT.  
 There is text that "is" the program HALT.  
 There is text that is the program Turing.  
 Can run Turing on Turing!

Does  $Turing(Turing)$  halt?

$Turing(Turing)$  halts

$\implies$  then  $HALTS(Turing, Turing) = \text{halts}$   
 $\implies Turing(Turing)$  loops forever.

$Turing(Turing)$  loops forever

$\implies$  then  $HALTS(Turing, Turing) \neq \text{halts}$   
 $\implies Turing(Turing)$  halts.

**Contradiction.** Program HALT does not exist!  
 Questions? □

## Another view of proof: diagonalization.

Any program is a fixed length string.  
 Fixed length strings are enumerable.  
 Program halts or not any input, which is a string.

	$P_1$	$P_2$	$P_3$	...
$P_1$	H	H	L	...
$P_2$	L	L	H	...
$P_3$	L	H	H	...
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\ddots$

Halt - diagonal.  
 Turing - is **not** Halt.  
 and is different from every  $P_i$  on the diagonal.  
 Turing is not on list. Turing is not a program.  
 Turing can be constructed from Halt.  
**Halt does not exist!** □

## Today

Ideas:

Same size means bijection.

Countable: bijection with naturals.

Equivalent: listing, or enumeration.

No, for reals.

Diagonalization.

Uses the fact that reals have an infinite number of digits.

Undecidability:

$HALT(P)$  - does not exist.

Why not?

Programs are text.

List programs, Turing not in list of programs!

Argue directly by saying  $Turing(Turing)$  neither halts nor runs forever.

Really Same: Says there is no text which can be Turing.