

Today: Error Correction.

...from Polynomials.

Secret Sharing.

Give out n points on degree $k - 1$ polynomial $P(x)$

Encode secret as y -intercept of $P(x)$.

Any k can reconstruct polynomial.

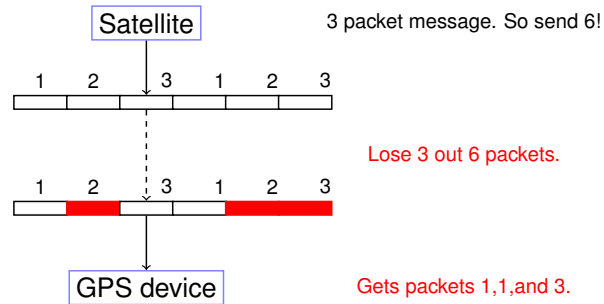
$(d + 1)$ -points correspond to exactly one Polynomial.

Lagrange Interpolation \implies there exists a polynomial that hits points.

Polynomial Division \implies there is only one.

Can also reconstruct polynomial using a linear system.

Erasure Codes.



Solution Idea.

n packet message, channel that loses k packets.

Must send $n + k$ packets!

Any n packets should allow reconstruction of n packet message.

Any n point values allow reconstruction of degree $n - 1$ polynomial.

Alright!!!!!!

Use polynomials.

Erasure Code.

Problem: Want to send a message with n packets.

Channel: Lossy channel: loses k packets.

Question: Can you send $n + k$ packets and recover message?

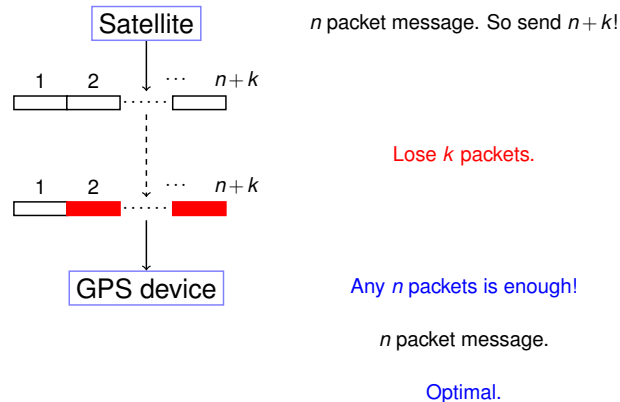
A degree $n - 1$ polynomial determined by any n points!

Erasure Coding Scheme: message = m_0, m_2, \dots, m_{n-1} .

1. Choose prime $p \approx 2^b$ for packet size b .
2. $P(x) = m_{n-1}x^{n-1} + \dots + m_0 \pmod{p}$.
3. Send $P(1), \dots, P(n+k)$.

Any n of the $n + k$ packets gives polynomial ...and message!

Erasure Codes.



Information Theory.

Size: Can choose a prime between 2^{b-1} and 2^b .
(Lose at most 1 bit per packet.)

But: packets need label for x value.

There are Galois Fields $GF(2^n)$ where one loses nothing.

– Can also run the Fast Fourier Transform.

In practice, $O(n)$ operations with almost the same redundancy.

Comparison with Secret Sharing: information content.

Secret Sharing: each share is size of whole secret.

Coding: Each packet has size $1/n$ of the whole message.

Erasure Code: Example.

Send message of 1,4, and 4.

Make polynomial with $P(1) = 1, P(2) = 4, P(3) = 4$.

How?

Lagrange Interpolation.
Linear System.

Work modulo 5.

$$P(x) = x^2 \pmod{5}$$

$$P(1) = 1, P(2) = 4, P(3) = 9 = 4 \pmod{5}$$

Send $(0, P(0)) \dots (5, P(5))$.

6 points. Better work modulo 7 at least!

Why? $(0, P(0)) = (5, P(5)) \pmod{5}$

Questions for Review

You want to encode a secret consisting of 1,4,4.

How big should modulus be?
Larger than 144 and prime!

You want to send a message consisting of packets 1,4,2,3,0
through a noisy channel that loses 3 packets.

How big should modulus be?
Larger than 8 and prime!

Send n packets b -bit packets, with k errors.
Modulus should be larger than $n+k$ and also larger than 2^b .

Example

Make polynomial with $P(1) = 1, P(2) = 4, P(3) = 4$.

Modulo 7 to accommodate at least 6 packets.

Linear equations:

$$P(1) = a_2 + a_1 + a_0 \equiv 1 \pmod{7}$$

$$P(2) = 4a_2 + 2a_1 + a_0 \equiv 4 \pmod{7}$$

$$P(3) = 2a_2 + 3a_1 + a_0 \equiv 4 \pmod{7}$$

$$6a_1 + 3a_0 = 2 \pmod{7}, \quad 5a_1 + 4a_0 = 0 \pmod{7}$$

$$a_1 = 2a_0. \quad a_0 = 2 \pmod{7} \quad a_1 = 4 \pmod{7} \quad a_2 = 2 \pmod{7}$$

$$P(x) = 2x^2 + 4x + 2$$

$$P(1) = 1, P(2) = 4, \text{ and } P(3) = 4$$

Send

Packets: $(1, 1), (2, 4), (3, 4), (4, 7), (5, 2), (6, 0)$

Notice that packets contain "x-values".

Polynomials.

- ▶ ..give Secret Sharing.
- ▶ ..give Erasure Codes.

Error Correction:

Noisy Channel: **corrupts** k packets. (rather than **loss**.)

Additional Challenge: Finding **which** packets are corrupt.

Bad reception!

Send: $(1, 1), (2, 4), (3, 4), (4, 7), (5, 2), (6, 0)$

Recieve: $(1, 1), (3, 4), (6, 0)$

Reconstruct?

Format: $(i, R(i))$.

Lagrange or linear equations.

$$P(1) = a_2 + a_1 + a_0 \equiv 1 \pmod{7}$$

$$P(2) = 4a_2 + 2a_1 + a_0 \equiv 4 \pmod{7}$$

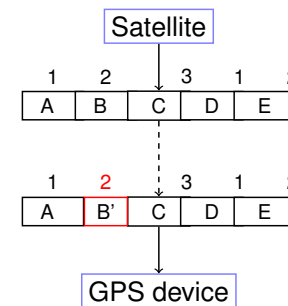
$$P(6) = 2a_2 + 3a_1 + a_0 \equiv 0 \pmod{7}$$

Channeling Sahai ...

$$P(x) = 2x^2 + 4x + 2$$

Message? $P(1) = 1, P(2) = 4, P(3) = 4$.

Error Correction



3 packet message. **Send 5.**

Corrupts 1 packets.

The Scheme.

Problem: Communicate n packets m_1, \dots, m_n on noisy channel that corrupts $\leq k$ packets.

Reed-Solomon Code:

1. Make a polynomial, $P(x)$ of degree $n-1$, that encodes message.
 - ▶ $P(1) = m_1, \dots, P(n) = m_n$.
 - ▶ **Comment:** could encode with packets as coefficients.
2. Send $P(1), \dots, P(n+2k)$.

After noisy channel: Receive values $R(1), \dots, R(n+2k)$.

Properties:

- (1) $P(i) = R(i)$ for at least $n+k$ points i ,
- (2) $P(x)$ is unique degree $n-1$ polynomial that contains $\geq n+k$ received points.

Slow solution.

Brute Force:

For each subset of $n+k$ points

Fit degree $n-1$ polynomial, $Q(x)$, to n of them.
Check if consistent with $n+k$ of the total points.
If yes, output $Q(x)$.

- ▶ For subset of $n+k$ pts where $R(i) = P(i)$, method will reconstruct $P(x)$!
- ▶ For any subset of $n+k$ pts,
 1. there is unique degree $n-1$ polynomial $Q(x)$ that fits n of them
 2. and where $Q(x)$ is consistent with $n+k$ points $\Rightarrow P(x) = Q(x)$.

Reconstructs $P(x)$ and only $P(x)$!!

Properties: proof.

$P(x)$: degree $n-1$ polynomial.

Send $P(1), \dots, P(n+2k)$

Receive $R(1), \dots, R(n+2k)$

At most k i 's where $P(i) \neq R(i)$.

Properties:

- (1) $P(i) = R(i)$ for at least $n+k$ points i ,
- (2) $P(x)$ is unique degree $n-1$ polynomial that contains $\geq n+k$ received points.

Proof:

- (1) Sure. Only k corruptions.
- (2) Degree $n-1$ polynomial $Q(x)$ consistent with $n+k$ points.
 $Q(x)$ agrees with $R(i)$, $n+k$ times.
 $P(x)$ agrees with $R(i)$, $n+k$ times.
Total points contained by both: $2n+2k$. P Pigeons.
Total points to choose from : $n+2k$. H Holes.
Points contained by both : $\geq n$. $\geq P-H$ Collisions.
 $\Rightarrow Q(i) = P(i)$ at n points.
 $\Rightarrow Q(x) = P(x)$ □

Example.

Received $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

Find $P(x) = p_2x^2 + p_1x + p_0$ that contains $n+k = 3+1$ points.

All equations..

$$\begin{aligned} p_2 + p_1 + p_0 &\equiv 3 \pmod{7} \\ 4p_2 + 2p_1 + p_0 &\equiv 1 \pmod{7} \\ 2p_2 + 3p_1 + p_0 &\equiv 6 \pmod{7} \\ 2p_2 + 4p_1 + p_0 &\equiv 0 \pmod{7} \\ 1p_2 + 5p_1 + p_0 &\equiv 3 \pmod{7} \end{aligned}$$

Assume point 1 is wrong and solve...**no consistent solution!**

Assume point 2 is wrong and solve...**consistent solution!**

Example.

Message: 3, 0, 6.

Reed Solomon Code: $P(x) = x^2 + x + 1 \pmod{7}$ has $P(1) = 3, P(2) = 0, P(3) = 6$ modulo 7.

Send: $P(1) = 3, P(2) = 0, P(3) = 6, P(4) = 0, P(5) = 3$.

(Aside: Message in plain text!)

Receive $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$.

$P(i) = R(i)$ for $n+k = 3+1 = 4$ points.

In general..

$P(x) = p_{n-1}x^{n-1} + \dots + p_0$ and receive $R(1), \dots, R(m = n+2k)$.

$$\begin{aligned} p_{n-1} + \dots + p_0 &\equiv R(1) \pmod{p} \\ p_{n-1}2^{n-1} + \dots + p_0 &\equiv R(2) \pmod{p} \\ &\vdots \\ p_{n-1}i^{n-1} + \dots + p_0 &\equiv R(i) \pmod{p} \\ &\vdots \\ p_{n-1}(m)^{n-1} + \dots + p_0 &\equiv R(m) \pmod{p} \end{aligned}$$

Error!! Where???

Could be anywhere!!! ...so try everywhere.

Runtime: $\binom{n+2k}{k}$ possibilities.

Something like $(n/k)^k$...Exponential in k !

How do we find where the bad packets are efficiently?!?!?!?

Ditty...

Where oh where can my **bad** packets be ...
On Friday.

Properties: proof.

$P(x)$: degree $n-1$ polynomial.
Send $P(1), \dots, P(n+2k)$
Receive $R(1), \dots, R(n+2k)$
At most k i 's where $P(i) \neq R(i)$.

Properties:

- (1) $P(i) = R(i)$ for at least $n+k$ points i ,
- (2) $P(x)$ is unique degree $n-1$ polynomial that contains $\geq n+k$ received points.

Proof:

- (1) Sure. Only k corruptions.
- (2) Degree $n-1$ polynomial $Q(x)$ consistent with $n+k$ points.
 $Q(x)$ agrees with $R(i)$, $n+k$ times.
 $P(x)$ agrees with $R(i)$, $n+k$ times.
Total points contained by both: $2n+2k$. P Pigeons.
Total points to choose from: $n+2k$. H Holes.
Points contained by both: $\geq n$. $\geq P-H$ Collisions.
 $\implies Q(i) = P(i)$ at n points.
 $\implies Q(x) = P(x)$ □

Today: Error Correction

By Welsh-Berlekamp.

Example.

Message: 3, 0, 6.

Reed Solomon Code: $P(x) = x^2 + x + 1 \pmod{7}$ has
 $P(1) = 3, P(2) = 0, P(3) = 6$ modulo 7.

Send: $P(1) = 3, P(2) = 0, P(3) = 6, P(4) = 0, P(5) = 3$.

(Aside: Message in plain text!)

Receive $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$.

$P(i) = R(i)$ for $n+k = 3+1 = 4$ points.

The Scheme.

Problem: Communicate n packets m_1, \dots, m_n on noisy channel that corrupts $\leq k$ packets.

Reed-Solomon Code:

1. Make a polynomial, $P(x)$ of degree $n-1$, that encodes message.
 - ▶ $P(1) = m_1, \dots, P(n) = m_n$.
 - ▶ **Comment:** could encode with packets as coefficients.
2. Send $P(1), \dots, P(n+2k)$.

After noisy channel: Receive values $R(1), \dots, R(n+2k)$.

Properties:

- (1) $P(i) = R(i)$ for at least $n+k$ points i ,
- (2) $P(x)$ is unique degree $n-1$ polynomial that contains $\geq n+k$ received points.

Slow solution.

Brute Force:

For each subset of $n+k$ points
Fit degree $n-1$ polynomial, $Q(x)$, to n of them.
Check if consistent with $n+k$ of the total points.
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- ▶ For subset of $n+k$ pts where $R(i) = P(i)$, method will reconstruct $P(x)$!
- ▶ For any subset of $n+k$ pts,
 1. there is unique degree $n-1$ polynomial $Q(x)$ that fits n of them
 2. and where $Q(x)$ is consistent with $n+k$ points
 $\implies P(x) = Q(x)$.

Reconstructs $P(x)$ and only $P(x)$!!

Where oh where can my **bad** packets be?

$$E(1)(p_{n-1} + \dots + p_0) \equiv R(1)E(1) \pmod{p}$$

$$0 \times E(2)(p_{n-1}2^{n-1} + \dots + p_0) \equiv R(2)E(2) \pmod{p}$$

$$\vdots$$

$$E(m)(p_{n-1}(m)^{n-1} + \dots + p_0) \equiv R(n+2k)E(m) \pmod{p}$$

Idea: Multiply equation i by 0 if and only if $P(i) \neq R(i)$.
All equations satisfied!!!!

But which equations should we multiply by 0? **Where oh where...??**

We will use a polynomial!!! That we don't know. But can find!

Errors at points e_1, \dots, e_k . (In diagram above, $e_1 = 2$.)

Error locator polynomial: $E(x) = (x - e_1)(x - e_2) \dots (x - e_k)$.

$E(i) = 0$ if and only if $e_j = i$ for some j

Multiply equations by $E(\cdot)$. (Above $E(x) = (x-2)$.)

All equations satisfied!!

Finding $Q(x)$ and $E(x)$?

► $E(x)$ has degree k ...

$$E(x) = x^k + b_{k-1}x^{k-1} \dots b_0.$$

⇒ k (unknown) coefficients. Leading coefficient is 1.

► $Q(x) = P(x)E(x)$ has degree $n+k-1$...

$$Q(x) = a_{n+k-1}x^{n+k-1} + a_{n+k-2}x^{n+k-2} + \dots + a_0$$

⇒ $n+k$ (unknown) coefficients.

Number of unknown coefficients: $n+2k$.

Example.

Received $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

Find $P(x) = p_2x^2 + p_1x + p_0$ that contains $n+k = 3+1$ points.

Plugin points...

$$\begin{aligned} (1-2)(p_2 + p_1 + p_0) &\equiv (3)(1-2) \pmod{7} \\ (2-2)(p_2 + 2p_1 + p_0) &\equiv (1)(2-2) \pmod{7} \\ (3-2)(p_2 + 3p_1 + p_0) &\equiv (6)(3-2) \pmod{7} \\ (4-2)(p_2 + 4p_1 + p_0) &\equiv (3)(4-2) \pmod{7} \\ (5-2)(p_2 + 5p_1 + p_0) &\equiv (3)(5-2) \pmod{7} \end{aligned}$$

Error locator polynomial: $(x-2)$.

Multiply equation i by $(i-2)$. All equations satisfied!

But don't know error locator polynomial! Do know form: $(x-e)$.

4 unknowns (p_0, p_1, p_2 and e), 5 **nonlinear** equations.

Solving for $Q(x)$ and $E(x)$...and $P(x)$

For all points $1, \dots, i, n+2k = m$,

$$Q(i) = R(i)E(i) \pmod{p}$$

Gives $n+2k$ linear equations.

$$\begin{aligned} a_{n+k-1} + \dots a_0 &\equiv R(1)(1 + b_{k-1} \dots b_0) \pmod{p} \\ a_{n+k-1}(2)^{n+k-1} + \dots a_0 &\equiv R(2)((2)^k + b_{k-1}(2)^{k-1} \dots b_0) \pmod{p} \\ &\vdots \\ a_{n+k-1}(m)^{n+k-1} + \dots a_0 &\equiv R(m)((m)^k + b_{k-1}(m)^{k-1} \dots b_0) \pmod{p} \end{aligned}$$

..and $n+2k$ unknown coefficients of $Q(x)$ and $E(x)$!

Solve for coefficients of $Q(x)$ and $E(x)$.

$$\text{Find } P(x) = Q(x)/E(x).$$

..turn their heads each day,

$$\begin{aligned} E(1)(p_{n-1} + \dots + p_0) &\equiv R(1)E(1) \pmod{p} \\ &\vdots \\ E(i)(p_{n-1}i^{n-1} + \dots + p_0) &\equiv R(i)E(i) \pmod{p} \\ &\vdots \\ E(m)(p_{n-1}(n+2k)^{n-1} + \dots + p_0) &\equiv R(m)E(m) \pmod{p} \end{aligned}$$

...so satisfied, I'm on my way.

$m = n+2k$ satisfied equations, $n+k$ unknowns. **But nonlinear!**

Let $Q(x) = E(x)P(x) = a_{n+k-1}x^{n+k-1} + \dots + a_0$.

Equations:

$$Q(i) = R(i)E(i).$$

and linear in a_j and coefficients of $E(x)$!

Example.

Received $R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3$

$$Q(x) = E(x)P(x) = a_3x^3 + a_2x^2 + a_1x + a_0$$

$$E(x) = x - b_0$$

$$Q(i) = R(i)E(i).$$

$$\begin{aligned} a_3 + a_2 + a_1 + a_0 &\equiv 3(1 - b_0) \pmod{7} \\ a_3 + 4a_2 + 2a_1 + a_0 &\equiv 1(2 - b_0) \pmod{7} \\ 6a_3 + 2a_2 + 3a_1 + a_0 &\equiv 6(3 - b_0) \pmod{7} \\ a_3 + 2a_2 + 4a_1 + a_0 &\equiv 0(4 - b_0) \pmod{7} \\ 6a_3 + 4a_2 + 5a_1 + a_0 &\equiv 3(5 - b_0) \pmod{7} \end{aligned}$$

$a_3 = 1, a_2 = 6, a_1 = 6, a_0 = 5$ and $b_0 = 2$.

$$Q(x) = x^3 + 6x^2 + 6x + 5.$$

$$E(x) = x - 2.$$

Example: finishing up.

$$Q(x) = x^3 + 6x^2 + 6x + 5.$$

$$E(x) = x - 2.$$

$$\begin{array}{r}
 \\
 \\
 \\
 x - 2 \) \ x^3 + 6x^2 + 6x + 5 \\
 \underline{\quad x^3 - 2x^2 \quad} \\
 \\
 1x^2 + 6x + 5 \\
 \underline{\quad 1x^2 - 2x \quad} \\
 \\
 \quad \quad x + 5 \\
 \quad \quad \underline{\quad x - 2 \quad} \\
 \quad \quad \quad \quad 0
 \end{array}$$

$P(x) = x^2 + x + 1$
 Message is $P(1) = 3, P(2) = 0, P(3) = 6$.

What is $\frac{x-2}{x-2}$? 1
 Except at $x = 2$? Hole there?

Error Correction: Berlekamp-Welsh

Message: m_1, \dots, m_n .

Sender:

1. Form degree $n-1$ polynomial $P(x)$ where $P(i) = m_i$.
2. Send $P(1), \dots, P(n+2k)$.

Receiver:

1. Receive $R(1), \dots, R(n+2k)$.
2. Solve $n+2k$ equations, $Q(i) = E(i)R(i)$ to find $Q(x) = E(x)P(x)$ and $E(x)$.
3. Compute $P(x) = Q(x)/E(x)$.
4. Compute $P(1), \dots, P(n)$.

Hmmm...

Is there one and only one $P(x)$ from Berlekamp-Welsh procedure?

Existence: there is a $P(x)$ and $E(x)$ that satisfy equations.

Unique solution for $P(x)$

Uniqueness: any solution $Q'(x)$ and $E'(x)$ have

$$\frac{Q'(x)}{E'(x)} = \frac{Q(x)}{E(x)} = P(x). \tag{1}$$

Proof:
 We claim

$$Q'(x)E(x) = Q(x)E'(x) \text{ on } n+2k \text{ values of } x. \tag{2}$$

Equation ?? implies ??:

$Q'(x)E(x)$ and $Q(x)E'(x)$ are degree $n+2k-1$
 and agree on $n+2k$ points

$E(x)$ and $E'(x)$ have at most k zeros each.

Can cross divide at n points.

$$\implies \frac{Q'(x)}{E'(x)} = \frac{Q(x)}{E(x)} \text{ equal on } n \text{ points.}$$

Both degree $\leq n \implies$ Same polynomial! \square

Check your understanding.

You have error locator polynomial!
 Where oh where have my packets gone **wrong**?
 Factor? Sure.
 Check all values? Sure.
 Efficiency? Sure. Only $n+2k$ values.
 See where it is 0.

Last bit.

Fact: $Q'(x)E(x) = Q(x)E'(x)$ on $n+2k$ values of x .

Proof: Construction implies that

$$Q(i) = R(i)E(i)$$

$$Q'(i) = R(i)E'(i)$$

for $i \in \{1, \dots, n+2k\}$.

If $E(i) = 0$, then $Q(i) = 0$. If $E'(i) = 0$, then $Q'(i) = 0$.
 $\implies Q(i)E'(i) = Q'(i)E(i)$ holds when $E(i)$ or $E'(i)$ are zero.

When $E'(i)$ and $E(i)$ are not zero

$$\frac{Q'(i)}{E'(i)} = \frac{Q(i)}{E(i)} = R(i).$$

Cross multiplying gives equality in fact for these points. \square

Points to polynomials, have to deal with zeros!

Example: dealing with $\frac{x-2}{x-2}$ at $x = 2$.

Berlekamp-Welsh algorithm decodes correctly when k errors!

Quick Check. Error Correction.

Communicate n packets, with k erasures.

How many packets? $n + k$

How to encode? With polynomial, $P(x)$.

Of degree? $n - 1$

Recover? Reconstruct $P(x)$ with any n points!

Communicate n packets, with k errors.

How many packets? $n + 2k$

Why?

k changes to make diff. messages overlap

How to encode? With polynomial, $P(x)$. Of degree? $n - 1$.

Recover?

Reconstruct error polynomial, $E(x)$, and $P(x)$!

Nonlinear equations.

Reconstruct $E(x)$ and $Q(x) = E(x)P(x)$. Linear Equations.

Polynomial division! $P(x) = Q(x)/E(x)$!

Reed-Solomon codes. Welsh-Berlekamp Decoding. Perfection!

Reed-Solomon code.

Problem: Communicate n packets m_1, \dots, m_n on noisy channel that corrupts $\leq k$ packets.

Reed-Solomon Code:

1. Make a polynomial, $P(x)$ of degree $n - 1$, that encodes message: coefficients, p_0, \dots, p_{n-1} .
2. Send $P(1), \dots, P(n + 2k)$.