

## CS70.

Comment: Add 0. Proof that  $3|n^3 - n$ .

Which are parts of proof?

- (A)  $k^3 - k = qn$  for  $q \in \mathbb{N}$ .
- (B)  $0^3 - 0 = 0$ ,  $3|0$  since  $3 = 0(3)$ .
- (C)  $(k+1)^3 - (k+1) = k^3 + 2k$ .
- (D)  $k^3 + 2k = k(k^2 + 2)$ .
- (E) Add  $k - k$  to  $k^3 + 2k$ .
- (F)  $(k^3 - k) + 3k = 3(q+k)$ .

Add  $(k - k)$ .

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## CS70

Induction: Some quibbles.

What did you learn in 61A?

Induction and Recursion

Couple of more induction proofs.

Stable Marriage.

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## Some quibbles.

The induction principle works on the natural numbers.

Proves statements of form:  $\forall n \in \mathbb{N}, P(n)$ .

Yes.

What if the statement is only for  $n \geq 3$ ?

$$\forall n \in \mathbb{N}, (n \geq 3) \implies P(n)$$

Restate as:

$$\forall n \in \mathbb{N}, Q(n) \text{ where } Q(n) = "(n \geq 3) \implies P(n)".$$

Base Case: typically start at 3.

Since  $\forall n \in \mathbb{N}, Q(n) \implies Q(n+1)$  is trivially true before 3.

Can you do induction over other things? Yes.

Any set where any subset of the set has a smallest element.

In some sense, the natural numbers.

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## Poll

Question: What is the Main Idea of 61A

- (A) Functional Programming.
- (B) Environment Diagrams.
- (C) Recursion.
- (D) John Denero is kind of dreamy.

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## Strong Induction and Recursion.

Thm: For every natural number  $n \geq 12$ ,  $n = 4x + 5y$ .

Instead of proof, let's write some code!

```
def find-x-y(n):
    if (n==12) return (3,0)
    elif (n==13): return (2,1)
    elif (n==14): return (1,2)
    elif (n==15): return (0,3)
    else:
        (x',y') = find-x-y(n-4)
        return (x'+1,y')
```

Prove: Given  $n$ , returns  $(x, y)$  where  $n = 4x + 5y$ , for  $n \geq 12$ .

Base cases:  $P(12)$ ,  $P(13)$ ,  $P(14)$ ,  $P(15)$ . Yes.

Strong Induction step:

Recursive call is correct:  $P(n-4) \implies P(n)$ .

$$n - 4 = 4x' + 5y' \implies n = 4(x' + 1) + 5y'$$

Slight differences: showed for all  $n \geq 16$  that  $\bigwedge_{i=4}^{n-1} P(i) \implies P(n)$ .

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## Strengthening: need to...

Theorem: For all  $n \geq 1$ ,  $\sum_{i=1}^n \frac{1}{i^2} \leq 2$ . ( $S_n = \sum_{i=1}^n \frac{1}{i^2}$ .)

Base:  $P(1)$ ,  $1 \leq 2$ .

Ind Step:  $\sum_{i=1}^k \frac{1}{i^2} \leq 2$ .

$$\begin{aligned} \sum_{i=1}^{k+1} \frac{1}{i^2} &= \sum_{i=1}^k \frac{1}{i^2} + \frac{1}{(k+1)^2} \\ &\leq 2 + \frac{1}{(k+1)^2} \end{aligned}$$

Uh oh?

Hmmm... It better be that any sum is *strictly less than* 2.

How much less? At least by  $\frac{1}{(k+1)^2}$  for  $S_k$ .

" $S_k \leq 2 - \frac{1}{(k+1)^2}$ "  $\implies$  " $S_{k+1} \leq 2$ "

Induction step works! **No! Not the same statement!!!!**

Need to prove " $S_{k+1} \leq 2 - \frac{1}{(k+2)^2}$ ".

Darn!!!

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### Strengthening: how?

Theorem: For all  $n \geq 1$ ,  $\sum_{i=1}^n \frac{1}{i^2} \leq 2 - f(n)$ . ( $S_n = \sum_{i=1}^n \frac{1}{i^2}$ .)

**Proof:**

Ind hyp:  $P(k) - "S_k \leq 2 - f(k)"$

Prove:  $P(k+1) - "S_{k+1} \leq 2 - f(k+1)"$

$$S(k+1) = S_k + \frac{1}{(k+1)^2}$$

$$\leq 2 - f(k) + \frac{1}{(k+1)^2} \text{ By ind. hyp.}$$

Choose  $f(k+1) \leq f(k) - \frac{1}{(k+1)^2}$ .

$$\implies S(k+1) \leq 2 - f(k+1).$$

Can you?

Subtracting off a quadratically decreasing function every time.

Maybe a linearly decreasing function to keep positive?

Try  $f(k) = \frac{1}{k}$

$$\frac{1}{k+1} \leq \frac{1}{k} - \frac{1}{(k+1)^2}?$$

$$1 \leq \frac{k+1}{k} - \frac{1}{k+1} \text{ Multiplied by } k+1.$$

$$1 \leq 1 + \left(\frac{1}{k} - \frac{1}{k+1}\right) \text{ Some math. So yes!}$$

Theorem: For all  $n \geq 1$ ,  $\sum_{i=1}^n \frac{1}{i^2} \leq 2 - \frac{1}{n}$ .

### The best laid plans..

Consider the pairs..

- ▶ (Anthony) Davis and Pelicans
- ▶ (Lonzo) Ball and Lakers

Davis prefers the Lakers.

Lakers prefer Davis.

Uh..oh. Sad Lonzo and Pelicans.

### Stable Matching Problem

- ▶  $n$  candidates and  $n$  jobs.
- ▶ Each job has a ranked preference list of candidates.
- ▶ Each candidate has a ranked preference list of jobs.

How should they be matched?

### So..

Produce a matching where there are no crazy moves!

**Definition:** A **matching** is disjoint set of  $n$  job-candidate pairs.

Example: A matching  $S = \{(Lakers, Ball); (Pelicans, Davis)\}$ .

**Definition:** A **rogue couple**  $b, g^*$  for a pairing  $S$ :  
 $b$  and  $g^*$  prefer each other to their partners in  $S$

Example: Davis and Lakers are a rogue couple in  $S$ .

### Count the ways..

- ▶ Maximize total satisfaction.
- ▶ Maximize number of first choices.
- ▶ Maximize worse off.
- ▶ Minimize difference between preference ranks.

### A stable matching??

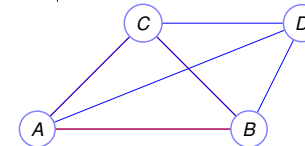
Given a set of preferences.

Is there a stable matching?

How does one find it?

Consider a single type version: stable roommates.

A	B	C	D
B	C	A	D
C	A	B	D
D	A	B	C



## The Propose and Reject Algorithm.

Each Day:

1. Each job **proposes** to its favorite candidate on its list.
2. Each candidate rejects all but their favorite proposer (whom they put on a **string**.)
3. Rejected job **crosses** rejecting candidate off its list.

Stop when each job gets exactly one proposal.  
Does this terminate?

...produce a matching?

....a stable matching?

Do jobs or candidates do "better"?

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## Example.

	Jobs				Candidates			
A	X	2	3	1	C	A	B	
B	X	X	3	2	A	B	C	
C	X	X	1	3	A	C	B	

	Day 1	Day 2	Day 3	Day 4	Day 5
1	A, <del>B</del>	A	<del>X</del> , C	C	C
2	C	B, <del>X</del>	B	A, <del>X</del>	A
3					B

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## Termination.

Every non-terminated day a job **crossed** an item off the list.

Total size of lists?  $n$  jobs,  $n$  length list.  $n^2$

Terminates in  $\leq n^2$  steps!

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## It gets better every day for candidates.

### Improvement Lemma: It just gets better for candidates

If on day  $t$  a candidate  $g$  has a job  $b$  on a string, any job,  $b'$ , on candidate  $g$ 's string for any day  $t' > t$  is at least as good as  $b$ .

Example: Candidate "Alice" has job "Amalgamated Concrete" on string on day 5.

She has job "Amalgamated Asphalt" on string on day 7.

Does Alice prefer "Amalgamated Asphalt" or "Amalgamated Concrete"?

$g$  - 'Alice',  $b$  - 'Am. Con.',  $b'$  - 'Am. Asp.',  $t = 5$ ,  $t' = 7$ .

Improvement Lemma says she prefers 'Amalgamated Asphalt'.

Day 10: Can Alice have "Amalgamated Asphalt" on her string? Yes.

Alice prefers day 10 job as much as day 7 job. Here,  $b = b'$ .

Why is lemma true?

Proof Idea: She can always keep the previous job on the string.

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## Improvement Lemma

### Improvement Lemma: It just gets better for candidates.

If on day  $t$  a candidate  $g$  has a job  $b$  on a string, any job,  $b'$ , on  $g$ 's string for any day  $t' > t$  is at least as good as  $b$ .

#### Proof:

$P(k)$  - "job on  $g$ 's string is at least as good as  $b$  on day  $t+k$ "

$P(0)$  - true. Candidate has  $b$  on string.

Assume  $P(k)$ . Let  $b'$  be job **on string** on day  $t+k$ .

On day  $t+k+1$ , job  $b'$  comes back.

Candidate  $g$  can choose  $b'$ , or do better with another job,  $b''$

That is,  $b' \leq b$  by induction hypothesis.

And  $b''$  is better than  $b'$  **by algorithm**.

$\implies$  Candidate does at least as well as with  $b$ .

$P(k) \implies P(k+1)$ .

And by principle of induction, lemma holds for every day after  $t$ .  $\square$

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## Poll

Question: It just gets better for candidates, because?

- (A) Induction on days.
- (B) When the economy is good.
- (C) The candidate can always keep the job on the string.

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## Matching when done.

**Lemma:** Every job is matched at end.

**Proof:**

If not, a job  $b$  must have been rejected  $n$  times.

Every candidate has been proposed to by  $b$ , and **Improvement lemma**

⇒ each candidate has a job on a string.

and each job is on at most one string.

$n$  candidates and  $n$  jobs. Same number of each.

⇒  $b$  must be on some candidate's string!

Contradiction. □

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Question: The argument for termination uses.

- (A) Implies: no unmatched job at end.
- (B) Improvement Lemma: every candidate matched.
- (C) Algorithm: unmatched job would ask everyone.
- (D) Implies: every one gets their favorite job.

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## Matching is Stable.

**Lemma:** There is no rogue couple for the matching formed by traditional marriage algorithm.

**Proof:**

Assume there is a rogue couple;  $(b, g^*)$



Job  $b$  proposes to  $g^*$  before proposing to  $g$ .

So  $g^*$  rejected  $b$  (since he moved on)

By improvement lemma,  $g^*$  prefers  $b^*$  to  $b$ .

Contradiction! □

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## Good for jobs? candidates?

Is the Job-Proposes better for jobs? for candidates?

**Definition:** A matching is  $x$ -optimal if  $x$ 's partner is its best partner in any stable pairing.

**Definition:** A matching is  $x$ -pessimal if  $x$ 's partner is its worst partner in any stable pairing.

**Definition:** A matching is job optimal if it is  $x$ -optimal for all jobs  $x$ .

..and so on for job pessimal, candidate optimal, candidate pessimal.

**Claim:** The optimal partner for a job must be first in its preference list.

True? False? False!

Subtlety here: Best partner in any stable matching.

As well as you can be in a globally stable solution!

**Question:** Is there a job or candidate optimal matching?

Is it possible:

$b$ -optimal pairing different from the  $b'$ -optimal matching!  
Yes? No?

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Question: The SMA produces a stable pairing is a proof by?

- (A) Contradiction.
- (B) Uses the improvement lemma.
- (C) Induction.
- (D) Direct.

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## Understanding Optimality: by example.

A: 1,2      1: A,B  
B: 1,2      2: B,A

Consider pairing:  $(A, 1), (B, 2)$ .

Stable? Yes.

Optimal for  $B$ ?

Notice: only one stable pairing.

So this is the best  $B$  can do in a stable pairing.

So optimal for  $B$ .

Also optimal for  $A$ , 1 and 2. Also pessimal for  $A, B, 1$  and 2.

A: 1,2      1: B,A  
B: 2,1      2: A,B

Pairing  $S$ :  $(A, 1), (B, 2)$ . Stable? Yes.

Pairing  $T$ :  $(A, 2), (B, 1)$ . Also Stable.

Which is optimal for  $A$ ?  $S$

Which is optimal for 1?  $T$

Which is optimal for  $B$ ?  $S$

Which is optimal for 2?  $T$

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## Job Propose and Candidate Reject is optimal!

For jobs? For candidates?

**Theorem:** Job Propose and Reject produces a job-optimal pairing.

**Proof:**

Assume not: there is a job  $b$  does not get optimal candidate,  $g$ .

There is a stable pairing  $S$  where  $b$  and  $g$  are paired.

Let  $t$  be first day job  $b$  gets rejected  
by its optimal candidate  $g$  who it is paired with  
in stable pairing  $S$ .

$b^*$  - knocks  $b$  off of  $g$ 's string on day  $t \implies g$  prefers  $b^*$  to  $b$

By choice of  $t$ ,  $b^*$  likes  $g$  at least as much as optimal candidate.

$\implies b^*$  prefers  $g$  to its partner  $g^*$  in  $S$ .

Rogue couple for  $S$ .

So  $S$  is not a stable pairing. Contradiction.  $\square$

Notes:  $S$  - stable.  $(b^*, g^*) \in S$ . But  $(b^*, g)$  is rogue couple!

Used Well-Ordering principle...Induction.

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## How about for candidates?

**Theorem:** Job Propose and Reject produces candidate-pessimal pairing.

$T$  – pairing produced by JPR.

$S$  – worse stable pairing for candidate  $g$ .

In  $T$ ,  $(g, b)$  is pair.

In  $S$ ,  $(g, b^*)$  is pair.

$g$  prefers  $b$  to  $b^*$ .

$T$  is job optimal, so  $b$  prefers  $g$  to its partner in  $S$ .

$(g, b)$  is Rogue couple for  $S$

$S$  is not stable.

Contradiction.  $\square$

Notes: Not really induction.

Structural statement: Job optimality  $\implies$  Candidate pessimality.

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## Quick Questions.

How does one make it better for candidates?

Propose and Reject - stable matching algorithm. One side proposes.

Jobs Propose  $\implies$  job optimal.

Candidates propose.  $\implies$  optimal for candidates.

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## Residency Matching..

The method was used to match residents to hospitals.

Hospital optimal....

..until 1990's...Resident optimal.

Another variation: couples.

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## Takeaways.

Analysis of cool algorithm with interesting goal: stability.

"Economic": different utilities.

Definition of optimality: best utility in stable world.

Action gives better results for individuals but gives instability.

Induction over steps of algorithm.

Proofs carefully use definition:

Optimality proof:

contradiction of the existence of a better pairing.

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