

## To direct or not to direct.

Thm:  $n^2$  is even  $\implies n$  is even.

Contrapositive Proof:  $n = 2k + 1$ ,  $n^2 = 4k^2 + 2k + 1$ ,  $n^2 = 2(2k^2 + k) + 1$ .

$n$  is odd  $\implies n^2$  is odd.

Direct Proof:  $n^2 + n = n(n + 1)$  which indicates it is even.

$n = n^2 + n - n^2 = \text{even} + \text{even} - \text{even}$  so  $n$  is even.

More detail:  $\text{even} + \text{even} - \text{even} = 2q + 2k - 2m = 2(q + k - m)$ .

## A formula.

Teacher: Hello class.

Teacher: Please add the numbers from 1 to 100.

Gauss: It's  $\frac{(100)(101)}{2}$  or 5050!

Five year old Gauss Theorem:  $\forall (n \in \mathbb{N}) : \sum_{i=0}^n i = \frac{(n)(n+1)}{2}$ .

It is a statement about all natural numbers.

$\forall (n \in \mathbb{N}) : P(n)$ .

$P(n)$  is " $\sum_{i=0}^n i = \frac{(n)(n+1)}{2}$ ".

Principle of Induction:

- ▶ Prove  $P(0)$ .
- ▶ For  $k \in \mathbb{N}$  Assume  $P(k)$ , "Induction Hypothesis"
- ▶ Prove  $P(k+1)$ . "Induction Step."
- ▶  $\implies P(n)$  is true for all  $n \in \mathbb{N}$ .

## CS70: Note 3. Induction!

Poll. What's the biggest number?

- (A) 100
- (B) 101
- (C)  $n+1$
- (D) infinity.
- (E) This is about the "recursive leap of faith."

## Gauss induction proof.

**Theorem:** For all natural numbers  $n$ ,  $0 + 1 + 2 \dots n = \frac{n(n+1)}{2}$

Base Case:  $P(0)$ : Does  $0 = \frac{0(0+1)}{2}$ ? Yes.

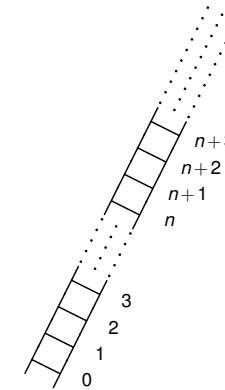
Induction Step: Show  $\forall k \geq 0, P(k) \implies P(k+1)$

Induction Hypothesis:  $P(k) = 1 + \dots + k = \frac{k(k+1)}{2}$

$$\begin{aligned} 1 + \dots + k + (k+1) &= \frac{k(k+1)}{2} + (k+1) \\ &= \frac{k^2 + k + 2(k+1)}{2} \\ &= \frac{k^2 + 3k + 2}{2} \\ &= \frac{(k+1)(k+2)}{2} \end{aligned}$$

$P(k+1)$ ! By principle of induction...

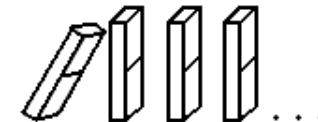
## The natural numbers.



0, 1, 2, 3, ...,  $n$ ,  $n+1$ ,  $n+2$ ,  $n+3$ , ...

## Notes visualization

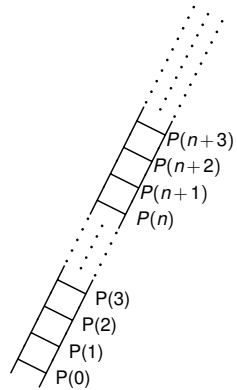
Note's visualization: an infinite sequence of dominos.



Prove they all fall down;

- ▶  $P(0)$  = "First domino falls"
- ▶  $(\forall k) P(k) \implies P(k+1)$ :  
"kth domino falls implies that  $k+1$ st domino falls"

## Climb an infinite ladder?



$$\begin{array}{c}
 P(0) \\
 \forall k, P(k) \implies P(k+1) \\
 P(0) \implies P(1) \implies P(2) \implies P(3) \dots \\
 (\forall n \in \mathbb{N}) P(n)
 \end{array}$$

Your favorite example of forever...or the natural numbers...

## Poll: What did Gauss use in the proof?

- (A) Every natural number has a next number.
- (B) The recursive leap of faith.
- (C)  $2^k > k$ .
- (D)  $\forall k \in \mathbb{N}, \frac{k(k+1)}{2} + k + 1 = \frac{(k+1)(k+2)}{2}$ .

## Induction

The canonical way of proving statements of the form

$$(\forall k \in \mathbb{N})(P(k))$$

- ▶ For all natural numbers  $n$ ,  $1 + 2 \dots n = \frac{n(n+1)}{2}$ .
- ▶ For all  $n \in \mathbb{N}$ ,  $n^3 - n$  is divisible by 3.
- ▶ The sum of the first  $n$  odd integers is a perfect square.

The basic form

- ▶ Prove  $P(0)$ . "Base Case".
- ▶  $P(k) \implies P(k+1)$ 
  - ▶ Assume  $P(k)$ , "Induction Hypothesis"
  - ▶ Prove  $P(k+1)$ . "Induction Step."

$P(n)$  true for all natural numbers  $n$ !!!

Get to use  $P(k)$  to prove  $P(k+1)$ ! ! !

## Gauss and Induction

Child Gauss:  $(\forall n \in \mathbb{N})(\sum_{i=0}^n i = \frac{n(n+1)}{2})$  Proof?

Idea: assume predicate  $P(n)$  for  $n = k$ .  $P(k)$  is  $\sum_{i=0}^k i = \frac{k(k+1)}{2}$ .

Is predicate,  $P(n)$  true for  $n = k+1$ ?

$$\sum_{i=0}^{k+1} i = (\sum_{i=0}^k i) + (k+1) = \frac{k(k+1)}{2} + k+1 = \frac{k(k+1)+2(k+1)}{2} = \frac{(k+1)(k+2)}{2}$$

How about  $k+2$ . Same argument starting at  $k+1$  works!

**Induction Step.**  $P(k) \implies P(k+1)$ .

Is this a proof? It shows that we can always move to the next step.

Need to start somewhere.  $P(0)$  is  $\sum_{i=0}^0 i = \frac{(0)(0+1)}{2}$  **Base Case.**

Statement is true for  $n=0$   $P(0)$  is true

plus inductive step  $\implies$  true for  $n=1$   $(P(0) \wedge (P(0) \implies P(1))) \implies P(1)$

plus inductive step  $\implies$  true for  $n=2$   $(P(1) \wedge (P(1) \implies P(2))) \implies P(2)$

...

true for  $n=k \implies$  true for  $n=k+1$   $(P(k) \wedge (P(k) \implies P(k+1))) \implies P(k+1)$

...

Predicate,  $P(n)$ , **True** for all natural numbers! **Proof by Induction.**

## Another Induction Proof.

**Theorem:** For every  $n \in \mathbb{N}$ ,  $n^3 - n$  is divisible by 3.  $(3 | (n^3 - n))$ .

**Proof:** By induction.

Base Case:  $P(0)$  is " $(0^3) - 0$ " is divisible by 3. Yes!

Induction Step:  $(\forall k \in \mathbb{N}), P(k) \implies P(k+1)$

Induction Hypothesis:  $k^3 - k$  is divisible by 3.

or  $k^3 - k = 3q$  for some integer  $q$ .

$$\begin{aligned}
 (k+1)^3 - (k+1) &= k^3 + 3k^2 + 3k + 1 - (k+1) \\
 &= k^3 + 3k^2 + 2k \\
 &= (k^3 - k) + 3k^2 + 3k \quad \text{Subtract/add } k \\
 &= 3q + 3(k^2 + k) \quad \text{Induction Hyp.} \quad \text{Factor.} \\
 &= 3(q + k^2 + k) \quad \text{(Un)Distributive + over } \times
 \end{aligned}$$

Or  $(k+1)^3 - (k+1) = 3(q + k^2 + k)$ .

$(q + k^2 + k)$  is integer (closed under addition and multiplication).

$\implies (k+1)^3 - (k+1)$  is divisible by 3.

Thus,  $(\forall k \in \mathbb{N}) P(k) \implies P(k+1)$

Thus, theorem holds by induction. □

## Poll: steps of proof.

Theorem: For all natural numbers  $n$ ,  $3 | n^3 - n$ .

What did we use in the proof?

(A)  $(\forall n \in \mathbb{N}, P(n) \implies P(n+1)) \implies (\forall n \in \mathbb{N}, P(n))$ .

(B)  $\forall k \in \mathbb{N}, (3 | k^3 - k) \implies (3 | (k+1)^3 - (k+1))$ .

(C)  $(k+1)^3 = k^3 + 3k^2 + 3k + 1$ .

(D)  $(k+1)^3 - (k+1) = k^3 + 3k^2 + 2k$

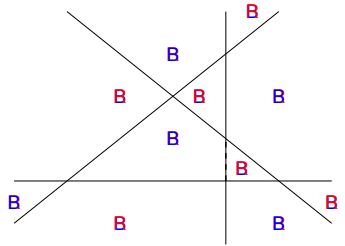
(E)  $k^3 + 3k^2 + 2k = (k^3 - k) + 3k^2 + k$

We used everything above except (A) and (E), cuz is false.

With  $P(0)$  then (A) works.

## Two color theorem: example.

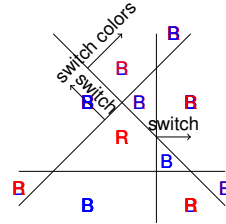
Any map formed by dividing the plane into regions by drawing straight lines can be properly colored with two colors.



**Proper coloring:** for each line segment the regions on the two sides have different colors.

**Fact:** Swapping red and blue gives another valid coloring.

## Two color theorem: proof illustration.



Base Case.

1. Add line.

2. Get inherited color for split regions

3. Switch on one side of new line.

(Fixes conflicts along new line, and makes no new ones along previous line.)

Algorithm gives  $P(k) \Rightarrow P(k+1)$ .

□

## Poll: what did we use in the proof.

- (A) Switching a 2-coloring is a valid coloring.
- (B) Definition of 2-coloring.
- (C) Definition of adjacent.
- (D) Definition of region.
- (E) The four color theorem.

## Strengthening Induction Hypothesis.

**Theorem:** The sum of the first  $n$  odd numbers is a perfect square.

**Theorem:** The sum of the first  $n$  odd numbers is  $n^2$ .

$k$ th odd number is  $2(k-1)+1$ .

**Base Case** 1 (first odd number) is  $1^2$ .

**Induction Hypothesis** Sum of first  $k$  odds is perfect square  $a^2 = k^2$ .

**Induction Step** 1. The  $(k+1)$ st odd number is  $2k+1$ .

2. Sum of the first  $k+1$  odds is

$$a^2 + 2k + 1 = k^2 + 2k + 1$$

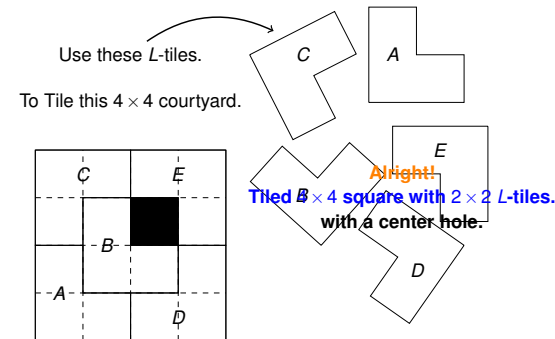
????

3.  $k^2 + 2k + 1 = (k+1)^2$

...  $P(k+1)$ !

□

## Tiling Cory Hall Courtyard.



Can we tile any  $2^n \times 2^n$  with L-tiles (with a hole) for every  $n$ !

## Hole in center?

**Theorem:** Can tile the  $2^n \times 2^n$  square to leave a hole adjacent to the center.

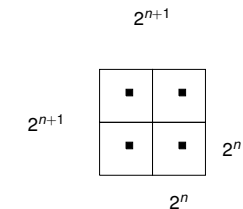
**Proof:**

**Base case:** A single tile works fine.

The hole is adjacent to the center of the  $2 \times 2$  square.

**Induction Hypothesis:**

Any  $2^n \times 2^n$  square can be tiled with a hole at the center.



What to do now???


## Hole can be anywhere!

**Theorem:** Can tile the  $2^n \times 2^n$  to leave a hole adjacent *anywhere*.

**Better theorem ...better induction hypothesis!**

Base case: Sure. A tile is fine.

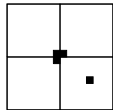


Flipping the orientation can leave hole anywhere. 

Induction Hypothesis:

"Any  $2^n \times 2^n$  square can be tiled with a hole **anywhere**."

Consider  $2^{n+1} \times 2^{n+1}$  square.



Use induction hypothesis in each.

Use L-tile and ... we are done. □

## Strong Induction.

**Theorem:** Every natural number  $n > 1$  can be written as a (possibly trivial) product of primes.

**Definition:** A prime  $n$  has exactly 2 factors 1 and  $n$ .

**Base Case:**  $n = 2$ . Write as "2".

**Induction Step:**

$P(n)$  = " $n$  can be written as a product of primes."

Either  $n + 1$  is a prime or  $n + 1 = a \cdot b$  where  $1 < a, b < n + 1$ .

$P(n)$  says nothing about  $a, b$ !

**Strong Induction Principle:** If  $P(0)$  and

$$(\forall k \in \mathbb{N})((P(0) \wedge \dots \wedge P(k)) \implies P(k+1)),$$

then  $(\forall k \in \mathbb{N})(P(k))$ .

$$P(0) \implies P(1) \implies P(2) \implies P(3) \implies \dots$$

Strong induction hypothesis: " $a$  and  $b$  are products of primes"

$\implies "n + 1 = a \cdot b = (\text{factorization of } a)(\text{factorization of } b)"$

$n + 1$  can be written as the product of the prime factors! □

## Prime or divisible by a prime..

A prime number is divisible by only itself and 1.

A number that is not prime is divisible by another number.

**A number that is not prime is divisible by a prime.**

Proof: induction.

$n$  is not prime.

Divisible by another number,  $m$ ,  $n = jm$

If  $m$  is prime and we are done.

Otherwise  $p|m$  for a prime  $p$  by induction hypothesis.

That is,  $m = ip$  for integer  $i$ .

And  $m|n$  or  $n = jm$  and  $m = ip$

So  $n = (ij)p$ .

Prime  $p$  divides  $n$  by principle of strong induction. □

## Well Ordering Principle and Induction.

If  $(\forall n)P(n)$  is not true, then  $(\exists n)\neg P(n)$ .

Consider smallest  $m$ , with  $\neg P(m)$ ,  $m \geq 0$

$P(m-1) \implies P(m)$  must be false (assuming  $P(0)$  holds.)

This is a restatement of the induction principle!

I.e.,

$$\neg(\forall n)P(n) \implies ((\exists n)\neg(P(n-1) \implies P(n))).$$

(Contrapositive of Induction principle (assuming  $P(0)$ ))

It assumes that there is a smallest  $m$  where  $P(m)$  does not hold.

**The Well ordering principle states that for any subset of the natural numbers there is a smallest element.**

Examples: even numbers, odd numbers, primes, non-primes, etc..

True for rational numbers? Poll.

Note: can do with different definition of smallest.

For example. Use reduced form:  $a/b$  and order by  $a+b$ .

## Well ordering principle.

Thm: All natural numbers are interesting.

0 is interesting...

Let  $n$  be the first uninteresting number.

But  $n-1$  is interesting and  $n$  is uninteresting,

so this is the first uninteresting number.

But this is interesting.

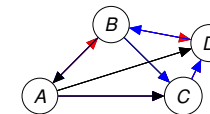
Thus, there is no smallest uninteresting natural number.

Thus: All natural numbers are interesting.

## Tournaments have short cycles

**Def:** A round robin tournament on  $n$  players: every player  $p$  plays every other player  $q$ , and either  $p \rightarrow q$  ( $p$  beats  $q$ ) or  $q \rightarrow p$  ( $q$  beats  $p$ .)

**Def:** A cycle: a sequence of  $p_1, \dots, p_k$ ,  $p_1 \rightarrow p_{i+1}$  and  $p_k \rightarrow p_1$ .



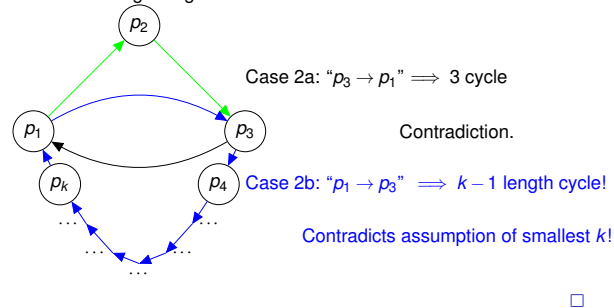
**Theorem:** Any tournament that has a cycle has a cycle of length 3.

## Tournament has a cycle of length 3 if at all.

Assume the the **smallest cycle** is of length  $k$ .

Case 1: Of length 3. **Done.**

Case 2: Of length larger than 3.



## Horses of the same color...

**Theorem:** All horses have the same color.

Base Case:  $P(1)$  - trivially true.

**New Base Case:**  $P(2)$ : there are two horses with same color.

Induction Hypothesis:  $P(k)$  - Any  $k$  horses have the same color.

Induction step  $P(k+1)$ ?

First  $k$  have same color by  $P(k)$ .  $1, 2, 3, \dots, k, k+1$

Second  $k$  have same color by  $P(k)$ .  $1, 2, 3, \dots, k, k+1$

A horse in the middle in common!  $1, 2, 3, \dots, k, k+1$

All  $k$  must have the same color!  $1, 2, 3, \dots, k, k+1$

How about  $P(1) \Rightarrow P(2)$ ?

Fix base case.

There are two horses of the same color. ...Still doesn't work!!

(There are two horses is  $\neq$  For every pair of two horses!!!)

Of course it doesn't work.

More subtle to catch errors in proofs of correct theorems!!

## Sad Islanders...

Island with 100 possibly blue-eyed and green-eyed inhabitants.

If islander knows they have green eyes must "leave island" that day.

No islander knows their own eye color, but knows everyone else's.

All islanders have green eyes!

First rule of island: **Don't talk about eye color!**

Visitor: "I see someone has green eyes."

Result: What happens?

(A) Nothing, no information was added.

(B) Information was added, maybe?

(C) They all leave the island.

(D) They all leave the island on day 100.

On day 100, they all leave.

Why? **Because they know induction.**

## They know induction.

Thm: If there are  $n$  islanders with green eyes they leave on day  $n$ .

**Proof:**

Base case:  $n=1$ . Person with green eyes leaves on day 1.

Induction hypothesis:

If  $n$  people with green eyes, they would leave on day  $n$ .

Induction step:

On day  $n+1$ , a green eyed person sees  $n$  people with green eyes.

But they didn't leave.

So there must be  $n+1$  people with green eyes.

One of them, is me.

I have to leave the island. I like the island. I am very sad.

Wait! Visitor added no information.

## Common Knowledge.

Using knowledge about what other people's knowledge (your eye color) is.

On day 1, everyone knows everyone sees more than zero.

On day 2, everyone knows everyone sees more than one.

...

On day 99, everyone knows no one sees 98

since everyone knows everyone else does not see 97...

On day 100, ...uh oh!

Another example:

Emperor's new clothes!

No one knows other people see that he has no clothes.

Until kid points it out.

## Summary: principle of induction.

Today: More induction.

$(P(0) \wedge ((\forall k \in \mathbb{N})(P(k) \Rightarrow P(k+1)))) \Rightarrow (\forall n \in \mathbb{N})(P(n))$

Statement to prove:  $P(n)$  for  $n$  starting from  $n_0$

Base Case: Prove  $P(n_0)$ .

Ind. Step: Prove. For all values,  $n \geq n_0$ ,  $P(n) \Rightarrow P(n+1)$ .

Statement is proven!

Strong Induction:

$(P(0) \wedge ((\forall n \in \mathbb{N})(P(n) \Rightarrow P(n+1)))) \Rightarrow (\forall n \in \mathbb{N})(P(n))$

Also Today: strengthened induction hypothesis.

**Strengthen theorem statement.**

Sum of first  $n$  odds is  $n^2$ .

Hole anywhere.

**Not same as strong induction.** E.g., used in product of primes proof.

Induction  $\equiv$  Recursion.

Tiling Cory Hall Courtyard.

